PLP Advisors, LLC's Business Continuity Planning

PLP Advisors, LLC has developed a Disaster Recovery/Business Continuity Plan on how we will respond to events that significantly disrupt our business. Since the timing and impact of disasters and disruptions is unpredictable, we will have to be flexible in responding to actual events as they occur. With that in mind, we are providing you with this information on our business continuity plan.

Contacting Us – If after a significant business disruption you cannot contact us as you usually do at (888) 860-9560, you should go to our website at www.plpadvisors.com.

Our Business Continuity Plan – We plan to quickly recover and resume business operations after a significant business disruption and respond by safeguarding our employees and property, making a financial and operational assessment, protecting the firm's books and records, and allowing our customers to transact business. In short, our business continuity plan is designed to permit our firm to resume operations as quickly as possible, given the scope and severity of the significant business disruption.

Our business continuity plan addresses: data backup and recovery; all mission critical systems; financial and operational assessments; alternative communications with customers, employees, and regulators; alternate physical location of employees; critical supplier, contractor, bank and counter-party impact; regulatory reporting; and assuring our customers prompt access to their funds and securities if we are unable to continue our business.

Varying Disruptions – Significant business disruptions can vary in their scope, such as only our firm, a single building housing our firm, the business district where our firm is located, the city where we are located, or the whole region. Within each of these areas, the severity of the disruption can also vary from minimal to severe. In a disruption to only our firm or a building housing our firm, we will transfer our operations to a local site when needed and expect to recover and resume business within a two (2) hour time period. In a disruption affecting our business district, city, or region, we will transfer our operations to a site outside of the affected area, and recover and resume business within a 24 hour time period. In either situation, we plan to continue in business, transfer operations to our clearing firm if necessary, and notify you through our website www.forthrightcapital.com on how to contact us. If the significant business disruption is so severe that it prevents us from remaining in business, we will assure our customer's prompt access to their funds and securities.

For more information – If you have questions about our business continuity planning, you can contact us (888) 860-9560.

PRIVACY POLICY

We are providing this notice to describe our policies for collecting and keeping private your nonpublic personal information. We will provide our Privacy Notice to you annually and anytime there is a change in our policy.

Additionally, regulations require us to annually provide you with a summary of material changes we made to our disclosure brochure, our Form ADV Part 2A, with an offer to provide the full brochure. Our disclosure brochure provides important information about PLP Advisors LLC. A copy has been previously provided to you. We are required to provide a summary of all material changes over the past year. The firm has not had any material changes to report. If you wish to receive a complete copy of our most current Form ADV Part 2A, please visit http://plpadvisors.com/firm-brochure/ or contact the office and we will gladly print a copy for you.

Finally, please remember to contact us if there are any changes in your financial situation or investment objectives.

FACTS	WHAT DOES **PLP ADVISORS LLC** DO WITH YOUR PERSONAL INFORMATION?

Why?	Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.
What?	The types of personal information we collect and share depends on the product or service you have with us. This information can include: • Tax Identification Number, Date of Birth, Telephone Number and Address • Annual Income, Tax Bracket, Account Balances and Transaction History • Net Worth, Assets and Employment History When you are *no longer* our customer, we continue to share your information as described in this notice
How?	All financial companies need to share clients' personal information to run their everyday business. In the section below, we list the reasons companies can share their clients' personal information; the reasons PLP Advisors, LLC chooses to share; and whether you can limit this sharing.

Reasons we can share your personal information?	**Does PLP Advisors LLC Share?**	**Can you limit this sharing?**
For our everyday business purposes - Such as to process your transactions, maintain your account(s), respond to court orders and legal investigations, or report to credit bureaus	Yes	No
For our marketing purposes - To offer our products and services to you	Yes	No
For Joint Marketing with other financial companies	No	We don't share.
For our affiliates' everyday business purposes - Information about your transactions and experiences	Yes	No
For our affiliates' everyday business purposes- Information about your creditworthiness	No	We don't share.
For non-affiliates to market to you	No	We don't share

Questions?	Call: 866-921-3613 or Visit: www.plpadvisors.com

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PRIVACY POLICY

Who we are	
Who is providing this notice?	PLP Advisors LLC

What we do	
How does PLP Advisors LLC Protect my personal information	To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings.
How does PLP Advisor LLC collect my personal information?	We collect your personal information, for example, when you • Provide your personal information • Seek Investment Advice • Complete an Application We also collect your personal information from other, such as credit bureaus, affiliates, or other companies.
Why can't I limit all sharing?	Federal law gives you the right to limit only • Sharing for affiliates' everyday business purposes-information about your creditworthiness • Affiliates from using your information to market to you • Sharing for non-affiliates to market to you State laws and individual companies may give you additional rights to limit sharing.

Definitions	
Affiliates	Companies related by common ownership or control. They can be financial and nonfinancial companies. • *PLP Advisors LLC shares with PLP Services LLC for everyday business purposes.*
Non-affiliates	Companies not related by common ownership or control. They can be financial and nonfinancial companies. • *PLP Advisors LLC does not share with non-affiliates so they can market to you.*
Joint Marketing	A formal agreement between nonaffiliated financial companies that together market financial products or services to you. • *PLP Advisors LLC does not jointly market.*

Other important information
PLP Advisors, LLC permits its investment adviser representatives to take clients' personal non-public account information with them should they leave to work for another registered investment adviser. PLP Advisors' understands a relationship exists between clients and their investment adviser representative through many meetings and conversations. This policy allows clients to have their account continuously served by their investment adviser representative. However, clients have the right to opt-out of this policy by contacting PLP Advisors, LLC at 866-921-3613. Please contact PLP Advisors, LLC if there are any questions regarding this policy.

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